

SIP REGISTRATION CUM MANDATE FORM (OTM/PDC)



New Investors subscribing to the scheme through SIP must complete this form compulsorily alongwith Common Application Form

Existing Investors mention your folio number in point no 1.

Application should be submitted atleast 30 days before the 1st debit

New SIP SIP Cancellation (Please ✓ as appropriate)

| ARN* / RIA Code / PMRN | ARN / RIA / PM Name | Sub-broker Code | Sub-broker ARN Code | RM Code | Employee Unique Identification Number (EUIIN) | Time Stamp No. |
|------------------------|---------------------|-----------------|---------------------|---------|---|----------------|
| | | | | | | |

#By mentioning RIA code (Registered Investment Adviser), I/we authorize you to share the investment Adviser the details of my/our transactions in the scheme(s) of LIC Mutual Fund. By mentioning PMRN code (Portfolio Manager's Registration Number), I/we authorize you to share with the SEBI-Registered Portfolio Manager the details of my/our transactions in the scheme(s) of LIC Mutual Fund. Declaration for "execution-only" transaction (only where EUIIN box is left blank). * I/we hereby confirm that the EUIIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

| | | |
|--|---|--|
| <input checked="" type="checkbox"/> SIGN HERE First/Sole Applicant/Guardian | <input checked="" type="checkbox"/> SIGN HERE Second Applicant | <input checked="" type="checkbox"/> SIGN HERE Third Applicant |
|--|---|--|

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor

TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS/AGENTS ONLY

In case the subscription amount is ₹ 10,000/- or more and if your Distributor has opted to receive Transaction Charges, ₹ 150/- (for first time mutual fund investor) or ₹ 100/- (for investor other than first time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested.

01. INVESTOR NAME AND DETAILS

Folio No. Existing unit holders: Please mention your Folio Number. New applicants: Please/mention Common Application No.

First Applicant's Name/Minor Name FIRST MIDDLE LAST KYC

02. SIP DETAILS (Please ✓ any one) For multiple Schemes please use the "Multiple SIP Common Application Form".

| <input type="checkbox"/> SIP with first Cheque | <input type="checkbox"/> SIP without Cheque | <input type="checkbox"/> SIP through Post Dated Cheque | <input type="checkbox"/> SIP through registered OTM | | | | |
|---|---|--|--|--|--|--|---|
| Scheme Name / Plan / Option | SIP Installment Amount (₹) | SIP Date (Please ✓ one) | Frequency (Please ✓ one) | Enrollment Period (Please ✓ one) | LIC MF STEP - UP Facility (Optional) | | |
| LIC MF Plan: Please tick (✓) <input type="checkbox"/> Direct <input type="checkbox"/> Regular Option: Please tick (✓) <input type="checkbox"/> Growth <input type="checkbox"/> Payout of Income Distribution cum capital withdrawal option <input type="checkbox"/> Reinvestment of Income Distribution cum capital withdrawal option | | <input type="checkbox"/> DD (Any date from 1 st to 28 th of a given month, Default date is 10th) <input type="checkbox"/> 15 th LIC MF ULIS | <input type="checkbox"/> Daily <input type="checkbox"/> Monthly (Default) <input type="checkbox"/> Quarterly | Start Date: From <input type="text"/> M M Y Y Y Y End Date: <input type="checkbox"/> Perpetual (Default) OR (Specify Date) <input type="text"/> M M Y Y Y Y | Amount: ₹ <input type="text"/> (Multiples of ₹ 1 thereafter)* Please refer Instruction No. ix (d) | Frequency: <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly (Default) | Upto Date: <input type="text"/> M M Y Y Y Y (Mention End Date) (Default is SIP End Date) |

Please tick (✓), Default Option is Growth. Only Growth Option is Available under LIC MF Children Gift Fund.

03. SIP THROUGH POST DATED CHEQUES

No. of cheques enclosed including first cheque Drawn on Bank and Branch

Account type Cheque No. should be in continuous series From To

15. SIP THROUGH REGISTERED ONE TIME MANDATE (OTM)

UMRN (First cheque is not mandatory, if you have opted for SIP through registered OTM)

03. SIP THROUGH FIRST CHEQUE

Cheque No. Cheque Amount in Rs. Cheque Date: DD MM YY YY

Bank Name Branch City

05. DECLARATION & SIGNATURE/S

I/we hereby declare that the particulars given in this mandate form are correct and express my willingness to make payments towards investment in the schemes of LIC Mutual Fund. I/we are aware that LIC Mutual Fund and its service providers and bank are authorized to process transactions by debiting my/our bank account through Direct Debit/ NACH facility. If the transaction is delayed or not effected for reasons of incomplete or incorrect information, I/we would not hold the user institution responsible. I/we will also inform LIC Mutual Fund/RTA about any changes in my/our bank account. I/we confirm that the aggregate of the lump sum investment (fresh purchase & additional purchase) and SIP installments in rolling 12 months period or financial year i.e. April to March does not exceed Rs. 50,000/- (Rupees Fifty Thousand) (applicable for "Micro investments" only). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/we have read, understood and agreed to the terms and conditions and contents of the SID, SAI, KIM and Addenda issued from time to time of the respective Scheme(s) of LIC Mutual Fund. I/we hereby authorize the bank to honour such payments for which I/we have signed and endorsed the Mandate Form. I/we hereby accord my/our consent to LIC MF for receiving the promotional information/ material via email, SMS, telemarketing calls etc. on the mobile number and email provided by me/us in this Application Form (refer instruction no IX).

| | | | |
|----------------------------|---|--|---|
| Date: <input type="text"/> | <input checked="" type="checkbox"/> SIGN HERE First/Sole Applicant/Guardian/POA Holder | <input checked="" type="checkbox"/> SIGN HERE Second Applicant/POA Holder | <input checked="" type="checkbox"/> SIGN HERE Third Applicant/POA Holder |
|----------------------------|---|--|---|

ACKNOWLEDGMENT SLIP

Application No.

(TO BE FILLED IN BY THE INVESTOR)



SIP through Auto Debit (NACH / PDC)

Folio No./Application No. Received from: Mr./ Ms. /M/s

Date DD MM YY YY SIP Mandate Form OTM/PDC